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JUL 16 2003

OFFICE OF PETITIONS

In re Application of :
Andrew C. Gilbert and Mary Ann Gilbert :
Application No. 10/042,371 :
Filed: December 27, 2001 :
Attorney Docket No. CF-46 :
Title: SYSTEMS AND METHODS FOR :
CREATING AND TRADING DYNAMIC :
SECURITIES :

DECISION DISMISSING PETITION
UNDER 37 C.F.R. §1.137(F) AS MOOT

This is a decision on the petition filed on April 8, 2003, pursuant to 37 C.F.R. §1.137(f), to revive the above-identified application.

A grantable petition pursuant to 37 CFR 1.137(f) must be accompanied by:

- (1) Notification of the filing of an application in a foreign country or under a multinational treaty that requires 18 month publication¹;
- (2) The petition fee as set forth in 37 C.F.R. § 1.17(m), and;
- (3) A statement that the entire delay in filing the notice from the date that the notice was due under 35 U.S.C. §122(b)(2)(B)(iii) until the date the notice was filed was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional.

Petitioner states that the instant nonprovisional application is the subject of an application filed in either a foreign or an international application on December 18, 2002.

On October 25, 2002, a Request to Rescind the Nonpublication Request was filed with the Office. The Request further provided notice of an international filing². If an applicant makes a nonpublication request and then rescinds the nonpublication request before or on the date a

¹ See PTO/SB/36 and paragraph on PTO/SB/64a for further information. Both may be downloaded at <http://www.uspto.gov/web/forms/index.html>.

² Although the notice did not contain the date of the international filing, the current petition reveals the date to be the same date upon which the rescission was filed, December 11, 2002.

counterpart application is filed in an eighteen-month publication country, the application will be treated as if the nonpublication request were never made³.

For the reason set forth above, the petition under 37 C.F.R. §1.137(f) is **DISMISSED AS MOOT**.

As such, no petition fee is necessary. The petition fee will be refunded to petitioner's Deposit Account.

After the mailing of this decision, the application file will be forwarded to Technology Center 3600 for further processing.

Telephone inquiries regarding *this decision* should be directed to the undersigned at (703) 305-0011.



Paul Shanowski
Attorney
Office of Petitions
United States Patent and Trademark Office

³ Rescission generally has the effect of voiding, repealing, or annulling a previous action. See Black's Law Dictionary 1308 (7th ed. 1999) (second definition: to make void, to repeal, or annul). Thus, if an applicant makes a nonpublication request under 35 U.S.C. § 122(b)(2)(B)(i) and then rescinds (pursuant to 35 U.S.C. § 122(b)(2)(B)(ii)) the nonpublication request before or on the date a counterpart application is filed in an eighteen-month publication country, the nonpublication request under 35 U.S.C. § 122(b)(2)(B)(i) will be treated as annulled and the application will be treated as if the nonpublication request had never been made. See <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/35usc122b2binterpret.htm>.